

Information of the Commission

Pertaining to

17 Items of Section 4 (1) (b)

Under

Right to Information Act 2005

**Maharashtra Electricity Regulatory Commission, Mumbai
(Commission)**

**Information under Section 4(1)(b) under Chapter II of the 'Right to Information Act, 2005
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Right to Information Act 2005

Section 4(1)(b)(i)

The particulars of Commission

A) General Information

Name of the Office	:	Maharashtra Electricity Regulatory Commission
Office address	:	13 th floor, Centre No.1,World Trade Centre, Cuffe Parade, Colaba, Mumbai 400 005.
Telephone and Fax No	:	Telephone 022 – 2216 3964 / 65 / 69, Fax No. 022 – 2216 3976
E-mail and Web-site	:	E-mail: mercrtionline@merc.gov.in mercindia@merc.gov.in mercindia@mercindia.org.in Website www.merc.gov.in www.mercindia.org.in
Office working hours	:	09.30 to 18.00 hrs from Monday to Friday, Saturday and Sunday are holidays. Other Holidays, as declared by the Govt of Maharashtra,
Parent Department In Mantralaya	:	Industries, Energy & Labour Department (Energy Department)
Head of Office	:	Chairman (He is the Chief Executive of the Commission) (Secretary is the Principal Officer of the Commission. All correspondence may please be addressed to Secretary)
Names of Chairman and Members	:	Smt. Chandra Iyengar , Chairperson Shri A.K. Khan, Member Shri, Deepak J. Lad, Member
Secretary	:	Shri Ashwani Kumar
Directors	:	Shri Prafulla Varhade, Director (Electrical Engineering), Shri Rajendra Ambekar , Director (Tariff)
Other Officer and Staff	:	Deputy Directors, Section Officers, Assistant Accounts Officer, and other staff members.
Language used in Correspondence	:	Marathi and English
Building and Property	:	The Commission does not own any land or building. Office premises are taken on rental basis.
Services Rendered made	:	All quasi-judicial services in accordance with Electricity Act, 2003 and the Rules & Regulations there under (Suo-motu or on petitions received)
Organizational Chart of the Commission	:	The Organizational Chart of the Commission is given below.

B) The functions and duties of the Commission

(1) The functions of the Commission under Section 86 (1) of the Electricity Act (EA), 2003 are as under:

- 1 Determine the tariff for generation, supply, transmission and wheeling of electricity wholesale, bulk or retail, as the case may be within the State;
- 2 Regulate electricity purchase and procurement process of distribution licensees including the price at which electricity shall be procured from the generating companies or licensees or from other sources through agreements for purchase of power for distribution of supply within the State;
- 3 Facilitate intra-State transmission and wheeling of electricity;
- 4 Issue Licences to persons seeking to act as transmission licensees, distribution licensees, and electricity traders;
- 5 Promote cogeneration and generation of electricity from renewable sources of energy;
- 6 Adjudicate upon the disputes between the licensees and generation companies and to refer any dispute for arbitration;
- 7 Levy fee for the purposes of the Electricity Act 2003;
- 8 Specify State Grid Code ;
- 9 Specify or enforce standards with respect to quality, continuity and reliability of service by Licensees
- 10 Fix the trading margin in the intra-State trading of electricity, if considered, necessary;
- 11 Discharges such other functions as may be assigned to it under this Act..

(2) Besides, under Section 86(2) of the Electricity Act 2003 , the Commission is also required to give advice to the State Government on (i) promotion of competition, efficiency and economy in activity of the electricity industry, (ii) promotion of investment in electricity industry, (iii) reorganization and restructuring of electricity industry in the State and (iv) matters concerning generation, transmission , distribution and trading of electricity or any other matter referred to it by the State Government.

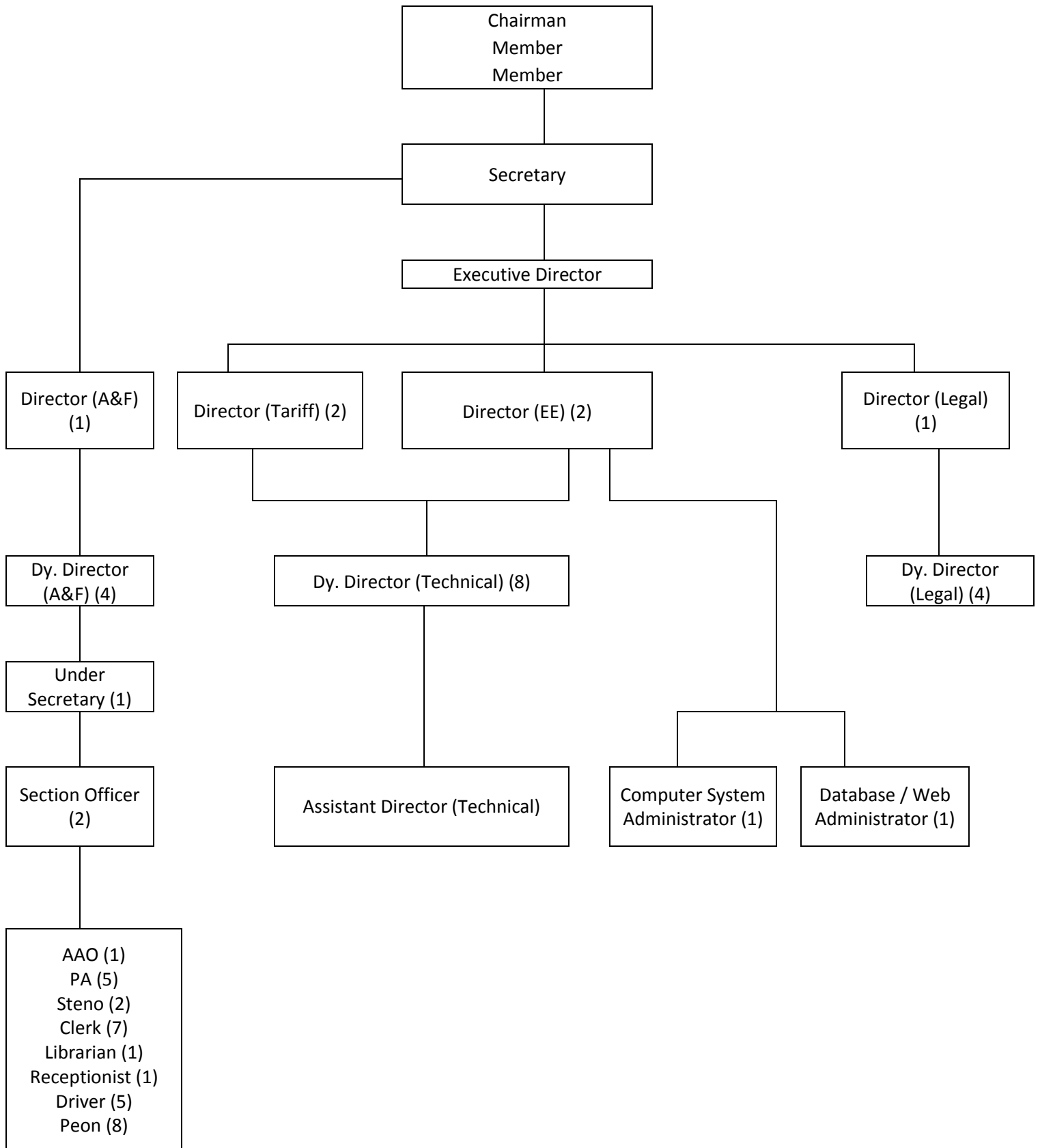
3) The functions and duties of the Commission as stated under other provisions of the Electricity Act, 2003

Sr. No.	Particulars	Section	Remarks
1	Exempt requirement of licence for transmitting, distributing and trading of electricity to any local authority, Panchayat Institution, users' association, co-operative society, non-governmental organizations or franchisees.	Section 13 of EA 2003	
2	Issue licence for transmitting, distributing and trading of electricity	Section 14 of EA 2003	
3	Amendment of licence	Section 18 of EA 2003	
4	Revocation of licence	Section 19 of EA 2003	
5	Sale of utilities of licensees and to make interim arrangements for operation of the Utility, including appointment of an Administrator	Section 20 of EA 2003	
6	Directions to licensees for regulating supply, distribution, consumption or use of electricity	Section 23 of EA 2003	
7	Suspension of distribution licence and sale of utility and appointment of an Administrator to Discharge the functions of Distribution Licensee	Section 24 of EA 2003	
8	Order to provide intervening transmission facilities to the extent of surplus capacity available and to decide rates, charges and terms and conditions for such facility, if not agreed upon by the licensees mutually	Section 35 & 36 of EA 2003	
9	Introduce open access in distribution and determine charges for wheeling	Section 42 (2) of EA 2003	
10	Specify guidelines for establishment of the Consumers Grievance Redressal Forum by distribution licensee	Section 42 (5) of EA 2003	
11	Appointment of Electricity Ombudsman	Section 42 (6) of EA 2003	
12	Specify an Electricity Supply Code	Section 50 of EA 2003	
13	Specify the technical requirement, capital adequacy requirement and credit worthiness for being an electricity trader	Section 52 of EA 2003	
14	Specify Standards of performance of licensee and determine compensation payable to the affected persons	Section 57 of EA 2003	
15	Specify period within which licensee should submit information regarding level of performance achieved in relation to the specified standard of performance and the number of cases in which compensation was paid and the total amount of compensation	Section 59 of EA 2003	
16	Directions to a Licensee or generating company for avoiding market domination	Section 60 of EA 2003	
17	Specify the terms and conditions for determination of tariff	Section 61 of EA 2003	

18	Establish State Advisory Committee	Section 87 of EA 2003	
19	Appoint Secretary, other Officers and Employees of the Commission	Section 91(1) of EA 2003	
20	Appointment of Consultants	Section 91 (4) of EA 2003	
21	Authorize any person, as it deems fit, to represent the interest of the consumers in the proceeding before it	Section 94(3) of EA 2003	
22	Take criminal action for intentionally giving false evidence in any of the proceedings of the Commission or fabricating false evidence for the purpose of being used in any of the proceedings	Section 95 of EA 2003	
23	Take criminal action for intentionally insult or causes any interruption in any of the proceedings of the Commission	Section 95 of E. A. 2003	
24	To incur expenditure from the Commission's Fund	Section 103 of EA, 2003	According to the Rules framed by State Government As per Section 180 of EA 2003
25	Maintaining Accounts of the Commission and Forwarding the accounts of the Commission, together with the audit report thereon, annually to the State Government	Section 104 of EA 2003	As above
26	Preparation of the annual report of the Commission and forwarding the same to the State Government	Section 104 (5) of EA 2003	
27	Preparation of Budget of the Commission	Section 106 of EA 2003	As per item No. 24
28	Specify form, manner and fees for making an appeal, against the final Order issued by an Assessing Officer for unauthorized use of electricity, to appellate authority as may be prescribed	Section 127 of EA 2003	
29	Investigate in certain matters	Section 128 of EA 2003	
30	Punishment for non-compliance of directions by Commission	Section 142 of EA,2003	
31	Punishment for non-compliance of orders or directions given under EA 2003	Section 146 of EA 2003	
32	To make regulations	Section 181 of EA 2003	

Organizational Chart of the Commission

MAHARASHTRA ELECTRICITY REGULATORY COMMISSION



Right to Information Act 2005

Section 4(1)(b) (ii)

The powers and duties of officers and employees of the Commission

(a) Powers of officers and employees of the Commission

(b) Duties of officers and employees of the Commission

Right to Information Act 2005

Section 4(1)(b) (ii)

(a) Powers of officers and employees of the Commission

Under Preparation

Right to Information Act 2005
Section 4(1)(b)(ii)
(b) Duties of Officers and Employees of Commission

Sr. No.	Post	Details of Duties
1	Secretary	<ul style="list-style-type: none"> • Exercise his powers and perform his duties under the control of the Chairperson. • Assist the Commission, in the discharge of its functions under the Act, • Have custody of the records and the seal of the Commission. • Receive or cause to receive all documents, inter-alia Petitions, Appeals, applications or references pertaining to the Commission .After scrutinizing the documents, he shall be entitled to seek clarifications or rectifications upon the same and to issue appropriate directions about acceptance or rejection of such documents . • Carry out such functions under the Act, as may be delegated to him by the Commission, by general or special order. • Assist the Commission in the proceedings relating to the powers exercisable by the Commission, as directed by the Commission. • Provide notice for meeting, prepare the agenda for the meeting and minute the proceedings of the Commission’s meetings. • Authenticate the orders passed by the Commission.
2	Director (Tariff)	<p>Deals with the following subjects:</p> <ul style="list-style-type: none"> • ARR/Tariff Petitions- Determination of ARR/Tariff of Generating Companies, Transmission Licensees and Distribution Licensees • Transmission Prices/Tariff of State • Vetting of FAC and FAC and VASC (if applicable) of Distribution of Licensees • SLDC Budget • Review Petitions on Tariff Orders • ATE cases related to Tariff Orders • Amendment to Tariff Regulations • Tariff Orders- Compliance Monitoring • Approval of PPAs • Competitions of Deviations Bidding Guidelines

		<ul style="list-style-type: none"> • Energy Purchase Agreements for Renewable Energy Sources • Any other work assigned by the Commission from time to time
3	Director (Electrical Engineering)	<p>Deals with the following subjects:</p> <ul style="list-style-type: none"> • Capital Expenditure (Capex) Schemes- In principle clearances, monitoring and maintaining licensees wise database • Roll out plans of STU, Transmission Licencee, SLDC and Distribution Licensees • RIMS Implementation • Load shedding related issues • Third party Energy Audit and field monitoring • Matter related to Supply Code, and Standard of Performances, monitoring Standard of Performances of Distribution Licenses • Petitions filed by Licensee/ Consumer / Person (Other than tariff petitions and Review petitions on the Tariff Orders) • General and Specific conditions of Licence • Processing of Applications for grant of Licence (for Trading, Transmission and Distribution) • Transmission and Distribution Open Access Regulations- Implementation of Regulatory Framework for supporting Open Access • Grid Code, ABT metering, Balancing & Settlement Code • Compliance of directions given in Act, National Tariff Policy, CEA Regulations, • Compliance of Genco and Transmission Licensees with stipulated conditions performance • Energy efficiency and DSM • MERC Website updating • Any other work assigned by Commission form time to time
4	Dy. Director (Tech.)	<ul style="list-style-type: none"> • Correspondence with MoP, CERC, GoM, FOIR, SAFIR etc
5	Dy. Director (Legal)	<ul style="list-style-type: none"> • Receiving Petitions/submission from parties • Scrutiny of Petitions/applications • Processing of Petitions • Registering of Cases • Issuing Notices • MIS (Daily report of Cases filed in the Commission office) • Daily Orders • Legal Vetting • Final Orders Issuance • Issuance of Certified Copies & CD's • In Charge of Record Room • Data maintaining & Circulation

6	Dy. Director (Adm. & Fin.)	<ul style="list-style-type: none"> • Consumers Advocacy and matters related to Consumers Grievance Regulations • Meeting of State Advisory Committee, coordination with MSPC, GCC
7	Section Officer	<ul style="list-style-type: none"> • All Establishment matters • Legislative Assembly/Council Questions, Parliamentary Questions • All Administrative matters • Public Relations • All matters relating to Right to Information Act, 2005
8	Assistant Account Officer	Performs the function of budgeting, drawing and disbursement, Receipts & Payments etc. Responsible for overall accounting and financial control.
9	Assistant Director	Assist to Dy. Director (Tech)
10	Web / Data Administrator	Website Administration & maintenance & Database Administration
11	System Administrator	Maintain Network & overall IT Systems.
12	Personal Assistants (4)	Each allotted to Hon Chairman/2 Members/Secretary
13	Clerk-cum-Typist-cum-Office-Assistant (Administration/ Establishment and Account Sections)	<ul style="list-style-type: none"> • Perform duties of clerk and office assistant in the Administration/Establishment Section • Make entries of Outward Letters in the relevant Registers and to perform other administrative duties assigned to him/her from time to time
14	Receptionist-cum-Telephone Operator-cum-Office Assistant	To perform the job of a Receptionist, attend visitors, receive Tapal, make entries of the Inward
15	Librarian	To Maintain Library

Right to Information Act 2005

Section 4(1)(b)(iii)

The procedure followed in decision making process, including channels of supervision and accountability

A) Petitions/Review Petitions filed before the Commission

- (1) On scrutiny, if any petition is found to be defective, the defects are notified to the party concerned, as far as possible, within a period of 10 days, advising them to rectify the defects within a specified time.

At any time after the Petition is registered, the Commission issues such time-bound orders and directions, as would be deemed necessary for -

- (a) Service of notice to the respondents and other affected or interested parties regarding filing of the Petition;
- (b) Directing the parties concerned to file their replies, rejoinder affidavits, and documents (in opposition or in support of the Petition); and
- (c) The Petition to be placed for hearing before the Commission or bench, as the case may be.

The Commission determines the stage, manner, place, date and time of the hearing of the matter, as considered appropriate for expeditious disposal.

The Commission may, at any time before passing orders on any matter, require any one or more of the parties, or any other person, whom the Commission considers appropriate, to produce any documentary or other evidence as the Commission may consider necessary for the purpose of enabling it to pass the orders.

The Commission, consisting of the Members hearing a proceeding shall pass the orders in such proceedings and such orders shall be signed by the Members of the Commission hearing such proceedings.

The detailed procedure followed in decision making process in the case of Petitions/Review Petitions is laid down in the MERC (Conduct of Business) Regulations, 2004

These Regulations apply to all matters falling within the jurisdiction of the Commission, including determination of tariff, grant of licence.

(2) Channels of supervision and accountability

- a) Secretary
- b) The Commission.

B) Matters relating to Administration, Establishment, Finance, Accounts, Budget, Annual Report, Annual Accounts etc.

(1) The Proposal is prepared by the concerned Officer of the Commission, who deals with the subject. The said Proposal is submitted to the Under Secretary, Secretary. It is then to the Chief Executive (i.e. Chairman of the Commission) or to the Commission, as the case may be, for approval.

(2) Channels of supervision and accountability

- a) Dy. Director (Admin. & Fin), Dy. Director (Legal) and Dy. Director (Tech) of the Commission
- b) Secretary of the Commission
- c) Chief Executive of the Commission or the Commission, as the case may be .

Right to Information Act 2005

Section 4(1)(b)(iv)

The norms set by the Commission for the discharge of its functions

The Commission is a quasi-judicial body. It has already made the Regulations wherein norms have been set up for the discharge of its quasi-judicial functions. These Regulations are as under:

- 1) MERC (Fees and Charges) Regulations, 2004
- 2) MERC (Trading Licence Conditions) Regulations, 2004
- 3) MERC (Transmission Licence Conditions) Regulations, 2004
- 4) MERC (Transmission Open Access) Regulations, 2005
- 5) MERC (Distribution Open Access) Regulations, 2005
- 6) MERC (Terms and Conditions of Tariff) regulations, 2005
- 7) MERC (General Conditions of Distribution Licence) Regulations, 2006

These documents are available on Commission's website

1. www.merc.gov.in
2. www.mercindia.org.in

Right to Information Act 2005

Section 4(1)(b)(v)

The Rules, Regulations, Instructions, Manuals and records, held by or under the control of the Commission, used by its employees for discharging its functions

1) Act and Rules of Central Government

1. The Electricity Act 2003 *
2. National Electricity Policy, 2005 *
3. The Electricity Rules 2005
4. National Electricity Plan
5. National Tariff Policy *

2) Rules made by the State Government

1. MERC (Preparation of Annual Report) Rules, 2003*
2. MERC (Preparation and submission of Budget) Rules, 2004*
3. MERC (Conditions of Service of Chairperson and Members) Rules 2005*
4. MERC (Fund) Rules, 2005 *
5. MERC (Fees for Application for grant of Licence) Rules, 2009

3) Regulations made by the Commission *

List of Regulations Notified Under EA, 2003

LIST OF MERC REGULATIONS	
1	Procedural Regulations (09 Nos.)
i	MERC (State Advisory Committee) Regulations, 2000 <i>(Repealed)</i>
ii	MERC (Conduct of Business) Regulations, 2004
iii	MERC (Terms & Conditions of Appointment of Consultants) Regulations, 2004
iv	MERC (Procedure for filing appeal before the Appellate Authority) Regulations, 2004
v	MERC (Fees & Charges) Regulations, 2004
vi	MERC (Standing Legal Counsel Terms & Conditions of Appointment) Regulations, 2004
vii	MERC (Recruitment and Conditions of Service of Employees) Regulations, 2007
viii	MERC (Recruitment and Conditions of Service of Employees (Amendment) Regulations, 2011.
ix	MERC(Authorized Consumer Representatives) Regulations,2012
2	Tariff Regulations (07 Nos.)
i.	MERC (Terms & Conditions of Tariff) Regulations, 2005 <i>(Repealed)</i>
ii.	MERC (Terms and Conditions of Tariff) (Amendment) Regulations, 2011 <i>(Repealed)</i>
iii	MERC (Multi Year Tariff) Regulations, 2011
iv.	MERC (Multi Year Tariff) First Amendment Regulations, 2011
v.	MERC (Uniform Recording, Maintenance and Reporting of Information) Regulations, 2009
vi.	MERC (Multi Year Tariff) Second Amendment Regulations, 2013
vii	MERC (Multi Year Tariff) (Third Amendment) Regulations, 2014

3	Licensing (13 Nos.)
i	MERC (Transmission Licence Conditions) Regulations, 2004
ii	Amendment to MERC (Transmission Licence Conditions) Regulations, 2004
iii	MERC (Trading Licence Conditions) Regulations, 2004
iv	Amendment to MERC (Trading Licence Conditions) Regulations, 2004
v	MERC (Distribution Licence Conditions) Regulations, 2004 <i>(Repealed)</i>
vi	MERC (General Terms & Conditions of Distributions Licence) Regulations, 2006
vii	MERC (Specific Conditions of Distribution Licence applicable to Brihan Mumbai Electric Supply & Transport Undertaking of Municipal Corporation of Greater Mumbai) Regulations, 2007
viii	MERC (Specific Conditions of Distribution Licence applicable to Reliance Energy Limited) Regulations, 2008
ix	MERC (Specific Conditions of Distribution Licence applicable to The Tata Power Co Limited) Regulations, 2008
x	MERC (Specific Conditions of Distribution Licence applicable to MADC Ltd, Mihan SEZ) Regulations, 2013
xi	MERC (Specific Conditions of Distribution Licence applicable to M/s. Serene Properties Pvt. Ltd. for its SEZ at Airoli) Regulations, 2013
xii	MERC (Specific Conditions of Distribution Licence applicable to M/s. Quadron Business Park Ltd. (QBPL) for IT & ITES SEZ at Plot No. 28, Rajiv Gandhi Info Tech Park, Phase-II, Hinjewadi, Pune) Regulations, 2014
xiii	MERC (Specific Conditions of Distribution Licence applicable to M/s. Ixora Construction Pvt. Ltd, Panvel) Regulations, 2014
4	Renewable Energy (02 Nos.)
i.	MERC (Terms & Conditions of RE Tariff) Regulations, 2010
ii.	MERC (Renewable Purchase Obligation, Its compliance and implementation of REC Framework) Regulations, 2010
5	CGRF & Distribution Licensee Obligation (06 Nos.)
i	MERC (Consumer Grievance Redressal Forum & Ombudsman) Regulations, 2006
ii	Amendment to MERC (CGRF & EO) Regulations, 2006
iii	MERC (Electricity Supply Code and Other Conditions of Supply) Regulations, 2005
iv	MERC(Standard of Performance of Distribution Licensees, Period for Giving Supply and Determination of Compensation) Regulations,2005 <i>(Repealed)</i>
v	MERC(Standard of Performance of Distribution Licensees, Period for Giving Supply and Determination of Compensation) Regulations, 2014
vi	MERC (State Grid Code) Regulations, 2006
6	Open Access (04 Nos.)
i	MERC (Transmission Open Access) Regulations, 2005 <i>(Repealed)</i>
ii	MERC (Distribution Open Access) Regulations, 2005 <i>(Repealed)</i>
iii	MERC (Transmission Open Access) Regulations, 2014
iv	MERC (Distribution Open Access) Regulations, 2014
7	Demand Side Management (02 Nos.)
i	MERC (Demand Side Management Implementation Framework) Regulations, 2010
ii	MERC (Demand-Side Management Measures and Programmes' cost effectiveness Assessment) Regulations, 2010

* These documents are available on Commission's website 1. www.mercindia.org.in and www.merc.gov.in

2.

Right to Information Act 2005
Section 4(1)(b)(vi)

A statement of the categories of documents that are held by or under control of the Commission

(A) Quasi-judicial Documents

- 1) Daily Order
- 2) Final Orders

(B) Quasi Legislative Documents

(C)

- 1) Regulations

➤ List of Administrative Documents

- 1) Employee's Muster
- 2) Service Books of Employee
- 3) Purchase Register
- 4) Vehicle Log Book
- 5) Minutes of the meetings of the Commission
- 6) Minutes of the meetings of the State Advisory Committee
- 7) Annual Report

➤ List of Account Documents

- 1) Annual budget information
- 2) Cash Book/ Bank Book
- 3) Ledger, journal
- 4) Receipt – Payment Voucher
- 5) Receipt – Payment Account Statement
- 6) Income-Expenditure Statement
- 7) Balance Sheet

Right to Information Act 2005

Section 4(1)(b)(vii)

The particulars of any arrangement that exist for consultation with , or representation by, the members of the public, in relation to the formulation of the Commission’s policy or implementation thereof

While discharging its functions under Electricity Act, 2003, the MERC is required to undertake various activities such as:

1. To make Regulations;
2. To issue Orders on Petitions:
 - a) Determination of tariff
 - b) Grant license
 - c) Adjudication of disputes between Licensees and Generating Companies.
3. Review and miscellaneous petitions.

While discharging the functions, MERC undertakes consultation with public and consumers’ representatives by following the procedure as detailed below:

1) To make Regulations:

The Commission follows a detailed and transparent process before issuing Regulations. To start with, a Draft of the Regulations to be formulated is prepared and discussed with the Utilities and Authorized Consumers’ Representatives.. As per the requirement of the Act, the Draft Regulations then undergo the process of ‘previous publication’. This implies that the Draft Regulations are published for inviting objections/suggestions from the public. It is only after receipt and consideration of the objections/suggestions, the Regulations are finally approved by the Commission and notified in the Government Gazette and placed on the web-site of the Commission.

2) To issue Orders on Petitions for :

- a) Determination of tariff**
- b) Grant of license**

After the Petition is accepted by the Commission, the Commission determines the stages, manner, place, date and the time of the hearing of the matter, as considered appropriate for expeditious disposal. The Commission, accordingly directs the Petitioner that the Notice of the hearing may be advertised, normally at least 3 weeks before the date fixed for the hearing, in not less than 2 daily newspapers in English language and in not less than 2 daily newspapers in Marathi language having circulation in that area alongwith Petition in brief and Executive Summary thereof. The Petitioner is also directed to make available a copy of the Petition alongwith its enclosures and Executive Summary to the public and also to place it on the web-site of the Petitioner. Accordingly a Notice is published by the Petitioner inviting suggestions and objections to the Petition from the public.

After taking into consideration all submissions made by the Petitioner, all suggestions and objections from the public, responses of the Petitioner to the said suggestions/objections, issues raised during the public hearing and all relevant material, the final Order is issued by the Commission.

As regards the grant of Licence, the procedure as laid down in Section 15 of the Electricity Act, 2003, is also followed. Copy of the Commission's Order is put up on the Commission's web-site. Any affected person can file an Appeal against the Order of the Commission, before the Appellate Tribunal of Electricity.

3) Review and miscellaneous Petitions.

After the Petition is accepted by the Commission, Notices of petitions are sent to Petitioner, Respondent and authorized consumers' representatives for attending the hearing in the matter. Consumer representatives are allowed to participate in the hearing and express their views. After the hearing Daily Order and Record of Proceedings (RoP) are prepared, and copies of the same are sent to the Petitioner, Respondent and Consumer Representatives. RoPs of public importance are also exhibited on the Commission's web-site. After taking into consideration, the submissions made in the Petition, issues raised by the two parties and consumers' representatives in the hearing and all relevant documents, finally an Order is issued.

All Orders passed by the Commission are available on the Commission's website and copies of the same are sent to Petitioner, Respondent and Authorized Consumer representatives. The Petitioners or Respondents can file an Appeal against the Order of the Commission before the Appellate Tribunal of Electricity.

Right to Information Act 2005

Section 4(1)(b)(viii)

A statement of the boards, councils, committees and other bodies consisting of two or more persons constituted as part of the Commission or for the purpose of its advice, and as to whether meetings of those boards, councils, committees and other bodies are open to the public, or the minutes of such meetings are accessible for public

a) State Advisory Committee

Name of Committee	Members of Committee	Functions of Committee	Nos. of meetings scheduled	Remarks
State Advisory Committee	List Enclosed on page 23	As per Section 87 of EA 2003	3 times in a year	Meeting is not open for public. Minutes of meetings are available in Commission's office

b) Other bodies

Electricity Ombudsman constituted by the Commission under MERC (Consumer Grievance Redressal Forum & Electricity Ombudsman) Regulations, 2006

Name of the Body	Member	Objective	No. of Meetings held	Whether the Meetings are open to public	Minutes of the body are accessible for public
Electricity Ombudsman (Mumbai)	Shri R.D. Sankhe	To decide on the representations received against the decisions of the Consumers' Grievance Redressal Forums of the Licensees, in accordance with the MERC (Consumer Grievance Redressal Forum & Electricity Ombudsman) Regulations, 2006	Hearings are held as and when Required	Subject to the availability of space at the place of hearing	Copies of the decisions given by Electricity Ombudsman are available on Commission's Web-site
Electricity Ombudsman (Nagpur)	Justice Rohee				

Maharashtra Electricity Regulatory Commission

No. MERC/SAC1415/2014/0882

In exercise of the powers conferred by the Sections 87 & 88 of the Electricity Act (EA), 2003, the Maharashtra Electricity Regulatory Commission (MERC) hereby re-constitutes the State Advisory Committee, as follows for a period of two years, w.e.f. 22 August, 2014.

1. Chairperson, MERC - Ex-officio Chairperson
2. Member, MERC (I) - Ex-officio Member
3. Member, MERC (II) - Ex-officio Member
4. Secretary, MERC - Member Secretary
5. Secretary,
Food, Civil Supplies and Consumer
Protection Department, Government
of Maharashtra, Mumbai - Ex-officio Member
6. Secretary (Energy),
Industries, Energy and Labour
Department, Government of Maharashtra,
Mumbai. - Ex-officio Member
7. Joint Secretary,
Ministry of New and Renewable Energy,
Government of India, New Delhi. - Member
8. General Manager/Chief Engineer(Electrical),
Central Railway, Mumbai. - Member
9. Zonal Manager (Western Zone),
Rural Electrification Corporation Ltd,
Mumbai. - Member
10. Maharashtra Chamber of Commerce,
Industry & Agriculture, Mumbai. - Member
11. Federation of Indian Chambers of
Commerce and Industry (FICCI) ,
Maharashtra State Council, Mumbai - Member
12. Confederation of Indian Industry (CII),
Maharashtra State Council, Mumbai. - Member
13. Prayas (Energy Group),
Pune - Member

- | | | |
|---|---|--------|
| 14. President, Mumbai Grahak Panchayat, Mumbai | - | Member |
| 15. President, Thane-Belapur Industries Association, Navi Mumbai | - | Member |
| 16. Maharashtra Veej Grahak Sanghatana Ichalkaranji, District: Kolhapur | - | Member |
| 17. Tata Institute of Social Sciences, Mumbai | - | Member |
| 18. Indira Gandhi Institute of Development Research, Mumbai | - | Member |
| 19. CEO, Indian Energy Exchange Ltd., Mumbai | - | Member |
| 20. Director, (Department of Electrical Engineering), IIT, Mumbai | - | Member |

Mumbai

Date: 21.08.2014

(Ashwani Kumar)
Secretary,

Maharashtra Electricity Regulatory Commission.

Right to Information Act 2005
Section 4(1) (b) (ix)

A directory of Officers and Employees of the Commission

Maharashtra Electricity Regulatory Commission 13 th floor, Centre No.1, World Trade Centre Cuffe Parade, Mumbai 400 005 Tel. No. 022-2216 3964/65/69 Fax No. 022-2216 3976 E-mail : mercindia@merc.gov.in and mercindia@mercindia.org.in Website : www.merc.gov.in and www.mercindia.org.in		
Sr. No	Name	Designation
	Members of the Commission	
1	Smt. Chandra Iyengar	Chairperson
2	Shri Azeez M. Khan	Member
3	Shri Deepak J. Lad	Member
	Officers and Employees	
1	Shri. Ashwani Kumar	Secretary
2	Shri. Prafulla Varhade	Director (Electrical Engineer)
3	Shri. Rajendra Ambekar	Director (Tariff)
4	Shri Prashant Bhangare	Dy. Director (Adm & Fin)
5.	Shri Rajesh Chalwadi	Dy. Director (Adm & Fin)
6	Shri Ugale Balu	Dy. Director (Tech)
7	Shri Siddharth Rokde	Dy. Director (Tech)
8	Shri G D Patil	Dy. Director (Tech)
9	Shri Anant Sant	Dy. Director (Tech)
10	Shri Kishor Chavan	Dy. Director (Tech)
11	Sh Sachin Agarwal	Dy. Director (Tech)
12	Rakesh Guhagarkar	Dy. Director (Tech)
13	Sh Popat A Khandare	Dy. Director (Tech)
14	Anilkumar Ukey	Dy. Director (Legal)
15	Ravindra Sonawane	Dy. Director (Legal)
16	Shri Dhrubajit Ghosh	Dy. Director (Legal)
17	Shri Arun V. Walunj	Section Officer
18	Shri Pradeep Mohare	Section Officer
19	Shri Santosh Jadhav	O.S.D.
20	Smt. Jaya Bandkar	A.A.O.

21	Shri Pravin Ganvir	Assistant Director
22	Shri Raviraj Kadam	Assistant Director
23	Shri Sandeep Naware	Data Administrator
24	Shri. S. S. Koduri	PA to Member
25	Kum. S. L. Lingayat	PA to Member
26	Kum. Sangeeta Kotian	PA to Hon. Chairman
27	Kum. Anna Pillai	PA to Secretary
28	Smt. Hemlata Moti	Clerk-Typist-Office Assistant
29	Shri Tushar L. Murudkar	Clerk-Typist-Office Assistant
30	Shri. Amol Khandagale	Clerk-Typist-Office Assistant
31	Shri Ravidas M. Gijare	Clerk-Typist-Office Assistant
32	Smt. Nanda Sathe	Receptionist-cum Telephone Operator –cum- Office Assistant
33	Smt. Namrata Sawant	Librarian
34	Shri. Mangesh Bodke	Driver- cum- General Assistant
35	Shri. Kishor Shelke	Driver –cum- General Assistant
36	Shri. Vivek Bagwe	Driver –cum- General Assistant
37	Shri. Rajdeep Kamble	Driver –cum- General Assistant
38	Shri. Vikas S. Sonawane	Peon
39	Shri. Pravin Dukhande	Peon
40	Shri. B. K. Mane	Peon
41	Shri Nitin Koli	Peon
42	Shri Sunil Rane	Peon
43	Smt. Anita Ikke	Peon
44	Shri Ganesh Jadhav	Peon

Monthly remuneration received by each of the officers and employees, of the Commission, including the system of compensation as provided in its regulations.(Salary as per Sixth Pay Commission's Recommendations) (As Per November 2014 Salary)

Sr. No	Name	Designation	Pay Scale Rs.	Grade Pay Rs.	Gross Salary Rs.
Members of the Commission					
1	Smt. Chandra Iyengar	Chairman	80,000/- (fixed)	-	168,600*
2	Shri A.M.Khan	Member	37400-67000	12000	1,43,067**
3	Shri Deepak Lad	Member	37400-67000	12000	1,43,067***
Officers and Employees					
1	Shri Ashwani Kumar	Secretary	67000-79000		1,60,549
2	Shri. Prafulla Varhade	Director (Electrical Engineer)	37400-67000	8900	1,35,195
3	Shri. Rajendra Ambekar	Director (Tariff)	37400-67000	8900	1,17,600
4	Shri. Prashant Bhangare	Deputy Director	15600-39100	7600	74,713
5	Shri Balu Ugale	Deputy Director	15600-39100	7600	74,713
6	Shri Siddharth Rokade	Deputy Director	15600-39100	7600	74,713
7	Shri Ghanashyam Patil	Deputy Director	15600-39100	7600	74,713
8	Shri Sachin Bayas	Deputy Director	15600-39100	7600	70,550
9	Shri Rakesh Guhagarkar	Deputy Director	15600-39100	7600	74,713
10	Shri Rajesh Chawadi	Deputy Director	15600-39100	7600	74,713
11	Shri Kishor Chavan	Deputy Director	15600-39100	7600	74,713
12	Shri Anant Sant	Deputy Director	15600-39100	7600	74,713
13	Shri Popat Khandare	Deputy Director	15600-39100	7600	74,713
14	Shri Anilkumar Ukey	Deputy Director	15600-39100	7600	74,713
15	Shri Ravindra Sonawane	Deputy Director	15600-39100	7600	74,713
16	Shri Dhruvjit Ghosh	Deputy Director	15600-39100	7600	70,550
17	Shri Arun V. Walunj	Section Officer	15600-39100	5400	52,449
18	Shri Pradeep Mohare	Section Officer	15600-39100	5400	51,000
19	Shri Santosh Jadhav	O.S.D	9300-34800	4,800	53,360
20	Smt. Jaya Bandkar	Assistant Accounts Officer	9300-34800	4400	49,924
21	Shri Raviraj Kadam	Assistant Director	9300-34800	4400	37,748
22	Shri Pravin Ganvir	Assistant Director	9300-34800	4400	37,748
23	Shri Sandeep Naware	Database / Web Administrator	9300-34800	4400	37,748
24	Shri. S. S. Koduri	PA (Selection Grade)	9300-34800	4600	62,059
25	Kum. S. L. Lingayat	PA (Selection)	15600-39100	6600	68,388

		Grade)			
26	Kum. Sangeeta Kotian	PA (Selection Grade)	9300-34800	4600	51,525
27	Kum. Anna Pillai	PA (Higher Grade)	9300-34800	4400	42,348
28	Smt. Hemlata Moti	Clerk-Typist-Office Assistant	9300-34800	4800	40,040
29	Shri. Amol Khandagale	Clerk-Typist-Office Assistant	5200-20200	1900	21,898
30	Shri. Tushar L. Murudkar	Clerk-Typist-Office Assistant	5200-20200	1900	22,381
31	Shri Ravidas M. Gijare	Clerk-Typist-Office Assistant	5200-20200	1900	20,656
32	Smt Namrata Sawant	Librarian cum Office Assistant	5200-20200	1900	18,931
33	Smt Nanda Sathe	Receptionist-cum Telephone Operator – cum- Office Assistant	5200-20200	1900	18,931
34	Shri. Mangesh Bodke	Driver cum- General Assistant	5200-20200	1900	21,960
35	Shri. Kishor Shelke	Driver cum-General Assistant	5200-20200	1900	25,164
36	Shri. Vivek Bagwe	Driver cum-General Assistant	5200-20200	1900	22,542
37	Shri. Rajdeep Kamble	Driver cum General Assistant	5200-20200	1900	21,898
38	Shri. Vikas S. Sonawane	Peon	5200-20200	1900	19,120
39	Shri. B. K. Mane	Peon	4440-7440	1600	23,715
40	Shri Pravin Dukhande	Peon	4440-7440	1300	15,774
41	Shri Nitin Koli	Peon	4440-7440	1300	13,727
42	Shri Sunil Rane	Peon	4440-7440	1300	13,727
43	Smt Anita Ikke	Peon	4440-7440	1300	13,727
44	Shri Ganesh Jadhav	Peon	4440-7440	1300	13,727

- * After deducting pension of Rs. 82,800/- Rs. 85,800/-is paid.
- ** After deducting pension of Rs. 79,000/- Rs. 64,067/- is paid.
- *** After deducting pension of Rs. 1,883/- Rs. 1, 23,984/- is paid.

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**Budget allocated to each of its agency, indicating the particulars of
Proposed expenditures and reports on disbursements made**

RECEIPTS & PAYMENTS ACCOUNT FOR THE YEAR ENDED 31ST MARCH 2014

RECEIPTS	2013-14 Rs.	2012-13 Rs.
OPENING BALANCE	716,037,433.57	573,588,305.07
(a) Cash on Hand	67,902.00	29,690.00
(b) Bank Balances (SBI)		
(i) In Current A/C		
(ii) in Deposit A/C	688,596,604.00	571,375,317.00
(iii) Savings A/C	27,372,927.57	2,183,298.07
GRANTS RECEIVED	180,000.00	-
(a) Grants from GoM	180,000.00	-
(b) From Other Sources (Details)		
Grants for Capital Expenditure	-	-
Grants for Revenue expenditure	-	-
INCOME ON INVESTMENTS FROM		
(a) Earmarked / Endow. Funds	-	-
(b) Own Funds (Other Investments)	-	-
TO RECEIPTS OF THE COMMISSION	442,278,141.00	353,771,100.00
(a) Fees charged by the Commission		
(i) Fees for Initial License	500,000.00	-
(ii) Fees for Annual Licenses	421,751,776.00	334,119,000.00
(iii) Fees for Trading Licenses	-	-
(iv) Fees for RTI	983.00	1,674.00
(v) Fees for Documents	5,010.00	13,841.00
(vi) Fees for APR	1,500,000.00	-
(vii) Fees for Inspection	302.00	-
(viii) Fees for Filing Application	15,919,570.00	19,594,250.00
(ix) Fees for Recruitment Application	500.00	42,335.00
(x) Fees for Annual Tariff Review	1,100,000.00	-
(xi) Fees for Determination of Tariff	1,500,000.00	-
(b) Interest Received	76,597,303.91	55,140,899.00
(i) On Bank deposits	76,597,303.91	55,140,899.00
(ii) On Loans , advances to employees etc.	-	-
(c) Other Income	91,501.00	543,383.00
(i) Miscellaneous Receipts	91,501.00	543,383.00
(d) Investments	-	-
(i) to face value of investments encashed	-	-
(ii) Interest on investments	-	-
BALANCE C/F	1,235,184,379.48	983,043,687.07

PAYMENTS	2013-14 Rs.	2012-13 Rs.
EXPENSES		
(a) Establishments Expenses	39,163,154.00	32,912,789.00
(i) Pay & Allowances of Chairman & Members & Secretary Of Commission	5,649,258.00	2,679,706.00
(ii) Pay & Allowances of Officers & Staff	24,981,105.00	23,352,081.00
(iii) Bonus & Honorarium		
(iv) Overtime Allowances	520,438.00	420,321.00
(v) Medical & Health Care facilities	558,352.00	423,779.00
(vi) Any Other		
Resident Rent for Member	1,070,000.00	102,500.00
Salary reimbursed to BEST Employees		
Leave Travel Concession	-	54,262.00
Peon Allowances	480,000.00	703,770.00
Incentives	297,600.00	15,000.00
Other Allowances (Tel. & Periodical)	320,250.00	481,437.00
(vii) Contribution to P F	2,422,766.00	1,757,777.00
(viii) Contribution to any other Fund		
(ix) Staff Welfare	520,857.00	453,313.00
(x) Expenses on Employees retirement & terminal benefits		234,788.00
(xi) Stipendiary Regulatory Analyst Exp.	2,342,528.00	2,234,055.00
(b) OTHER ADMINISTRATIVE EXPENSES	158,424,602.50	213,733,184.50
(i) Purchases		
(ii) Cartages & Carriage Inwards		
(iii) Electricity & Power	1,058,110.00	889,814.00
(iv) Water Charges		
(v) Insurances		
(vi) Repairs & Mainte. & Renovation	2,058,230.00	2,171,483.00
(vii) Rent Rates & Taxes	66,211,290.00	67,588,083.00
(viii) Vehicles Running & Maintenences	801,656.00	398,581.00
(ix) Postage Tel. & Communication	416,103.00	496,547.00
(x) Printing & Stationery	1,056,703.00	1,017,406.00
(xi) Travelling & Conveyance		
Foreign Travel	522,822.00	798,409.00
Domestic Travel	2,709,839.00	1,363,934.00
Conveyance	133,256.00	102,600.00
(xii) Expenses on Seminar/ Workshops	3,680.00	18,296.00
(xiii) Training Course Expenses	1,607,328.00	3,721,843.00
(xiv) Subscription expenses	700,000.00	733,000.00
(xv) Expenses on Fees		
(xvi) Auditors remuneration	47,206.00	58,719.00
BALANCE C/F	197,587,756.50	246,645,973.50

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Manner of execution of subsidy programmes, including the amounts allocated and the details of beneficiaries of such programmes

No subsidies are disbursed by Maharashtra Electricity Regulatory Commission.

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Section 4(1)(b)(xiii)

Particulars of recipients of concessions, permits or authorizations granted by the Commission

A) In accordance with the first proviso of Section 14 of the Electricity Act, 2003 the following Licensees are “deemed licensees”

Sr. No.	Name of Companies / Firms	Address	Deemed Licensees for
1	Maharashtra State Electricity Distribution Co. Ltd.	Prakashgad, Bandra (East), Mumbai – 400051	Distribution
2	Maharashtra State Electricity Transmission Co. Ltd.	Prakashganga, Plot no. C-19, E-block, Bandra Kurla Complex, Bandra (East), Mumbai – 400051	Transmission
3	Reliance Infrastructure Limited	Reliance Energy Centre, Santacruz(East), Mumbai – 400055	Distribution Transmission
4	The Tata Power Company Limited	Bombay House, 24, Homi Modi Street, Fort, Mumbai – 400 001	Distribution Transmission
5	BEST Undertaking	BEST Bhavan, BEST Marg, Mumbai – 400 001	Distribution

(B) Licenses issued by the Commission in exercise of the powers conferred under Section 14 of Electricity Act, 2003.

Sr. No.	Name of Companies / Firms	Address	Deemed Licensees for
1	Vidharbha Industries Power Ltd.	H- Block, First Floor, Dhirubai Ambani Knowledge City, Navi Mumbai – 400 710	Transmission
2	Amravati Power Transmission Co. Ltd.	E-29, First Floor, Connaught Place, New Delhi-110001	Transmission
3	Sinnar Power Transmission Co.Ltd.	E-29, First Floor, Connaught Place, New Delhi-110001	Transmission
4	Maharashtra Eastern Grid Power Transmission Company Ltd.(MEGPTCL)	Adani House, Near Mithakali Six Roads, Navrangpura, Ahmedabad, Gujarat 380009	Transmission
5	Adani Power Maharashtra	Adani House, Near Mithakali Six Roads,	Transmission

	Ltd.	Navrangpura, Ahmedabad 380 009	
6.	Indiabulls Power Generation Limited	Indiabulls House, 448 –451, Udyog Vihar, Phase-V, Gurgaon 122 001	Intra-State Trading
7.	Jaigad Power Transco Ltd	The Enclave, Behind Marathe Udyog Bhavan, New Prabhadevi Road, Prabhadevi, Mumbai – 400 025	Transmission
8.	Global Energy Ltd	Plot No. K-5, MIDC, Mahad, Dist.- Raigad, 402 302	Intra-State Trading
9.	Adani Power Maharashtra Ltd.,	“Adani House”, Near Mithakali Six Roads, Navarangpura, Ahmedabad-380009	Transmission
10.	D.M. Corportion Pvt. Ltd	240/B, Mohite House, General Thorat Marg, Tarabai Park, Kolhapur -416003.	Intra-State Trading
11.	Twenty First Century Infrastructure (India) Pvt.Ltd	Corporation Building, Old Motor Stand, Itwari, Nagpur-440008	Intra-State Trading
12	Knowledge Infrastructure System Pvt. Ltd.	A-2/22,Safdarjung Enclave, New Delhi-110 029	Intra-State Trading
13.	NTS Power	50-A, Hadapsar Industrial Estate, Hadapsar, Pune- 411013	Intra-State Trading

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**Details in respect of information, available to or held by the Commission,
reduced in electronic form**

- 1) Electricity Act, 2003
- 2) Rules framed by Government of Maharashtra
- 3) Regulations made by the Commission
- 4) Orders passed by the Commission
- 5) Selected Record of Proceedings (RoP) of Select Petitions
- 6) Annual Reports
- 7) Approval to Fuel Adjustment Charges
- 8) Appellate Authority under Section 127 of Electricity Act, 2003
- 9) Electricity Consumers' Rights Statement
- 10) Orders passed by Electricity Ombudsman

Above documents are available on Commission's web-site www.mercinida.org.in and www.merc.gov.in

Right to Information Act 2005

Section 4(1)(b)(xv)

The particulars of facilities available to citizens for obtaining information, including the working hours of a library or reading room , if maintained for public use

Documents of the Commission as indicated in the Section 4(1)(b)(xiv) on pre-page are available on Commission's web-site www.mercinida.org.in and www.merc.gov.in in the downloadable format. Besides, this information can be obtained (if copies are available) from the Office of the Commission on payment of necessary charges. Interested persons can contact the Commission's Office between 09.30 to 18.00 Hrs., except on Saturday, Sunday and other public holidays. Further, the information can also be obtained by fax or by written request. Address of the Commission and Telephone Numbers are as under:

Maharashtra Electricity Regulatory Commission,
12th floor, Centre No.1,
World Trade Centre,
Cuffe Parade, Mumbai 400 005
Tel. No. 022-22163964/65/69
Fax No. 022-22163976
Website: www.mercinida.org.in and www.merc.gov.in
Email: mercrtionline@merc.gov.in

Note: No Library or Reading Room is maintained by the Commission, for public use.

Right to Information Act 2005
Section 4(1)(b)(xvi)

Names, designations and other particulars of the Public Information Officers

Public Information Officer

Designation	Address	e-mail
Shri Pradeep Mohare Section Officer Maharashtra Electricity Regulatory Commission	13 th floor, Centre No.1, World Trade Centre Cuffe Parade, Mumbai 005 Tel. No. 022-22163964/65/69 Fax No. 022-22163976	mercrtionline@merc.gov.in

Appellate Officer

Designation	Address	e-mail
Shri Anilkumar Ukey Dy. Director (Legal) Maharashtra Electricity Regulatory Commission	13 th floor, Centre No.1, World Trade Centre Cuffe Parade, Mumbai 005 Tel. No. 022-22163964/65/69 Fax No. 022-22163976	mercrtionline@merc.gov.in

Note: In the absence of Shri Pradeep Mohare, Section Officer and Shri Anilkumar Ukey, Dy. Director (Legal) on account of leave or otherwise. The Section Officer-2 and Director (Electrical Engineering) will act as Public Information Officer and Appellate Officer, respectively.

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Such other information as may be prescribed; and thereafter update publications every year

Publications of the Commission

1. Annual Reports

- 1) Consolidated Annual Report for the years 1999-2000, 2000-01 and 2001-02
- 2) Annual Report for year 2002-03
- 3) Annual Report for year 2003-04
- 4) Annual Report for year 2004-05
- 5) Annual Report for year 2005-06
- 5) Annual Report for year 2006-07
- 6) Annual Report for year 2006-07
- 7) Annual Report for year 2007-08
- 8) Annual Report for year 2008-09
- 9) Annual Report for year 2009-10
- 10) Annual Report for year 2010-11
- 11) Annual Report for year 2011-12
- 12) Annual Report for year 2012-13

2. Annual Accounts Reports

- 1) Annual Account Report for year 1999 -2000
- 2) Annual Account Report for year 2000-2001
- 3) Annual Account Report for year 2001-2002
- 4) Annual Account Report for year 2002-2003
- 5) Annual Account Report for year 2003-2004
- 6) Annual Account Report for year 2004-2005
- 7) Annual Account Report for year 2005-2006
- 8) Annual Account Report for year 2006-2007
- 9) Annual Account Report for year 2007-2008
- 10) Annual Account Report for year 2008-2009
- 11) Annual Account Report for year 2009-2010
- 12) Annual Account Report for year 2010-2011
- 13) Annual Account Report for year 2011-2012
- 14) Annual Account Report for year 2012-2013
- 15) Annual Account Report for year 2013-2014

